

DECLARATION

- ☒ ORIGINAL
☐ CONTINUATION
☐ DIVISIONAL

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed at 1 below, or a joint inventor if plural inventors are named below at 1-4, of the invention entitled: SELF-CONTAINED BUSINESS TRANSACTION CAPSULES

Which is described and claimed in:

- ☒ the attached specification or
☐ the specification in application Serial No. _____ filed _____
☐ as amended on _____

and for which a patent is sought, and that my residence, post office address and citizenship are as stated below next to my name.

I acknowledge my duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING Month Day Year	PRIORITY CLAIMED UNDER 35 U.S.C. 119	
			YES	NO
			YES	NO

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.


(Application Serial No.) _____ (Filing Date) _____ (Status) _____

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Los Angeles, CA 90017-5443

DIRECT TELEPHONE CALLS TO:
Eric Chen
(213) 488-7100

1	LAST NAME LEI	FIRST NAME JONATHAN	MIDDLE NAME L.	Residence: CITY Los Angeles	STATE or COUNTRY CA/USA
	Post Office Address 2655 Thorpe Avenue				CITIZENSHIP USA
2	LAST NAME	FIRST NAME	MIDDLE NAME	Residence: CITY	STATE or COUNTRY
	Post Office Address				CITIZENSHIP
3	LAST NAME	FIRST NAME	MIDDLE NAME	Residence: CITY	STATE or COUNTRY
	Post Office Address				CITIZENSHIP
4	LAST NAME	FIRST NAME	MIDDLE NAME	Residence: CITY	STATE or COUNTRY
	Post Office Address				CITIZENSHIP

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1 	SIGNATURE OF INVENTOR 2
DATE 12/29/2000	DATE
SIGNATURE OF INVENTOR 3	SIGNATURE OF INVENTOR 4
DATE	DATE

Docket No. 23803-250394

Filed or Issued:

For: **SELF-CONTAINED BUSINESS TRANSACTION CAPSULES**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. 1.9(f) and 1.27 (b) – SMALL BUSINESS CONCERN**

I hereby declare that I am

- () the owner of the small business concern identified below.
(X) an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF CONCERN

WARP 9 INC.

ADDRESS OF CONCERN

6144 Calle Real - Suite 200, Santa Barbara, CA 93117

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.3-18.

I hereby declare that rights under contract of law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled SELF-CONTAINED BUSINESS TRANSACTION CAPSULES by inventor(s) JONATHAN L. LEI described in:

- (X) the specification filed herewith
() Application Serial No. ____, filed ____
() Patent No. _____, issued _____

If the right held by the above-identified small business concern is not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. 1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

FULL NAME

ADDRESS

() INDIVIDUAL

() SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

() INDIVIDUAL

() SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

Jonathan L. Lei

TITLE OF PERSON OTHER THAN OWNER

Chief Executive Officer

ADDRESS OF PERSON SIGNING

2655 Thorpe Avenue, Los Angeles, CA 90065

SIGNATURE

DATE _____